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The Navigator for Enterprise Solutions

CAPITAL MARKETS TECHNOLOGY SPECIAL

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20 Most Promising Capital Markets Technology Solution Providers 2015

Raising capital has become a challenge today as uncertainty persists in global economy. Struggling credit markets, slipping stocks, and sliding dollars are posing threat to the policy makers. Organizations should identify areas where they can retain a competitive advantage as they weigh tough balance sheet choices. Discovering essential financial instruments vital for capital markets has become imperative. Top priorities in risk management include aggregation of risk across the trade lifecycle, investment in analytics, and strengthening an ethical, risk-minded culture. Organizations should be redesigning their technology architecture by embracing modernization, simplification, and automation. Modern technologies make it possible for firms to stay competitive and capitalize on an array of opportunities. Whether it is complex analytical algorithms to predict future movements and perform valuations of complex products or connectivity to exchanges, technology has been at the forefront of capital markets development.

One such major advanced technology platform is the cloud. Instead of hosting hundreds of servers, it is possible to

effectively pool all or a substantial part of hardware from the same location through the cloud. Apart from cloud-based software solutions, capital markets technology includes trading analytics, valuation, data management and analysis, regulatory compliance and risk management solutions for structured finance. But, there is an increasing need for companies to shift to a higher gear—or perhaps even accelerate faster and embrace these new technologies which can lead to better financial results.

To help CCOs, CIOs, and CEOs find the right capital markets technology and provider for their enterprises, our selection panel has evaluated hundreds of capital markets technology providers that own innovative technologies in this space.

In our selection, we looked at the vendor's capability to fulfill the burning need of cost-effective and flexible solutions to tackle complex capital markets challenges. We present to you CIO Review's 20 Most Promising Capital Markets Technology Solution Providers, 2015.



Company:
GoldenSource

Description:
Provides software, services, and expertise for institutions that trade in financial markets to manage risks, comply with regulatory requirements, and control costs

Key Person:
John H. Eley
CEO

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thegoldensource.com

GoldenSource

An Integrated Enterprise Data Management and Master Data Management Platform

Clean, consistent, timely, and efficiently managed reference and market data is an essential component of every security and asset management process. Garbage in, garbage out. Any problem with core reference data ripples throughout the enterprise, increasing cost and risk while jeopardizing service reputation. In order to meet business, regulatory and customer data and reporting requirements, as well as take control of operational risk, financial institutions have to run their core data operations in a way that reliably provides extremely data quality and is based on transparent foundations and solid governance. At the same time, these data operations need to be nimble enough to accommodate continuously evolving requirements.

GoldenSource provides data management solutions which strikes a balance between maturity,

stability and flexibility. The Enterprise Data Management (EDM) and Master Data Management (MDM) solutions provider enables financial institutions to strategically manage all of their important data sets from a single platform. Headquartered in New York, NY, GoldenSource's software, services, and expertise make it easy for financial institutions to manage risks, comply with regulatory requirements, control costs and take advantage of new business opportunities.

Built on the industry leading financial data model, GoldenSource's flexible data management infrastructure ensures critical data is complete and consistent. Its products source, standardize, validate and deliver mission critical market, reference, customer, position and transaction data to the people and systems that need it, such as trading, compliance, risk management, settlements, and accounting. GoldenSource also maintains connections to major suppliers of market and reference data as well as interfaces with any application that needs to receive trusted reference data.

The financial technology firm delivers its software however each client wishes to receive it, whether that is as an on premise installation or as a managed service. Software licenses can be offered on a perpetual, term or subscription structure.

GoldenSource is one among the few companies in the EDM sector that pioneered the move to managed services and SaaS. "We have built out infrastructure and seen success, as many clients are interested in outsourcing infrastructure and application management and in licensing on a

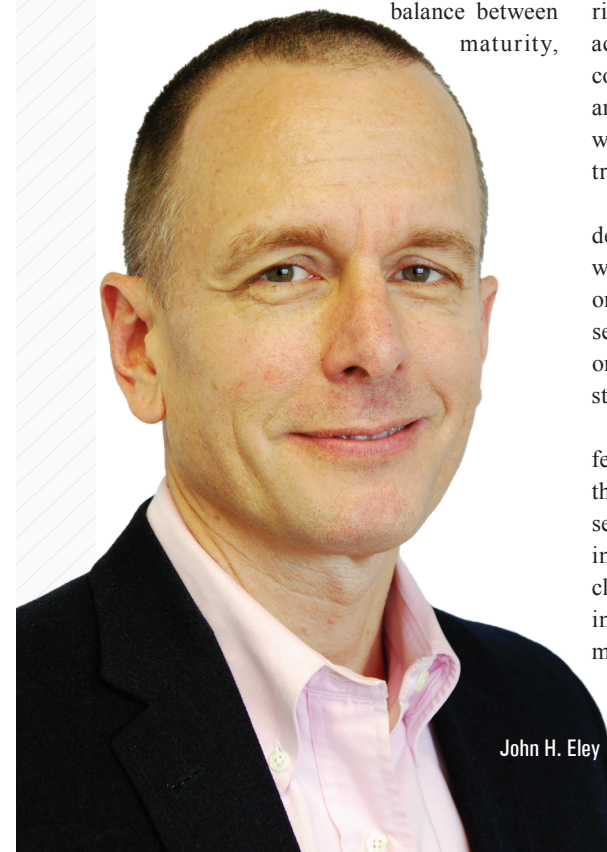
SaaS basis," he adds. The firm's SaaS based solution, known as GoldenSource OnDemand, delivers accessible and

We are happy if a customer wants to license software, have a subscription and use on term license basis and wants us to host delivery and management on SaaS

streamlined EDM and MDM capabilities to financial institutions looking to reduce costs, enhance operations and concentrate on their core business. It runs in the secure, client-dedicated, GoldenSource Private Cloud and operates as an extension of the client's own IT infrastructure.

GoldenSource clients include investment banks, broker dealers, asset and wealth managers, hedge funds, securities services firms, exchanges, and government entities, of all sizes. Recent client success stories include international investment bank Mizuho Financial Group, the Australian Securities Exchange (ASX) and a leading American hedge fund.

According to Eley, going forward, The company will continue to balance the platform with stability and nimbleness that will allow flourishing a successful business. **CR**



John H. Eley